Appendix: Exposure scenarios

Methodology used for environmental exposure assessment

The environmental exposure scenarios only address the assessment at the local scale, including municipal sewage treatment plants (STPs) or industrial waste water treatment plants (WWTPs) when applicable, for industrial and professional uses as any effects that might occur is expected to take place on a local scale.

1) Professional uses (local scale)

The exposure and risk assessment is only relevant for the aquatic and terrestrial environment. The aquatic effect and risk assessment is determined by the pH effect. Nevertheless, the classical risk characterisation ratio (RCR), based on PEC (predicted environmental concentration) and PNEC (predicted no effect concentration) is calculated. The professional uses on a local scale refer to applications on agricultural or urban soil. The environmental exposure is assessed based on data and a modelling tool. The modelling FOCUS/ Exposit tool is used to assess terrestrial and aquatic exposure (typically conceived for biocidal applications).

Details and scaling approach indications are reported in the specific scenarios.

Methodology used for occupational exposure assessment

By definition an exposure scenario (ES) has to describe under which operational conditions (OC) and risk management measure (RMMs) the substance can be handled safely. This is demonstrated if the estimated exposure level is below the respective derived no-effect level (DNEL), which is expressed in the risk characterisation ratio (RCR).

For workers, the repeated dose DNEL for inhalation as well as the acute DNEL for inhalation are based on the respective recommendations of the scientific committee on occupational exposure limits (SCOEL) being 1 mg/m³ and 4 mg/m³, respectively.

In cases where neither measured data nor analogous data are available, occupational exposure is assessed with the aid of a modelling tool. At the first tier screening level, the MEASE tool (http://www.ebrc.de/mease.html) is used to assess inhalation exposure according to the ECHA guidance (R.14).

Since the SCOEL recommendation refers to respirable dust while the exposure estimates in MEASE reflect the inhalable fraction, an additional safety margin is inherently included in the exposure scenarios below when MEASE has been used to derive exposure estimates.

For consumers, the repeated dose DNEL for inhalation as well as the acute DNEL for inhalation are based on the respective recommendations of the Scientific Committee on Occupational Exposure Limits (SCOEL), being 1 mg/m³ and 4 mg/m³, respectively.

When the preparation or substance is applied in granular form or as tablets, reduced exposure to dust was assumed. To take this into account if data about particle size distribution and attrition of the granule are lacking, the model for powder formulations is used, assuming a reduction in dust formation by 10 % according to Becks and Falks (Manual for the authorisation of pesticides. Plant protection products. Chapter 4 Human toxicology; risk operator, worker and bystander, version 1.0., 2006).

For dermal exposure and exposure to the eye a qualitative approach has been followed, as no DNEL could be derived for this route due to the irritating properties of calcium oxide. Oral exposure was not assessed as this is not a foreseeable route of exposure regarding the uses addressed.

Since the SCOEL recommendation refers to respirable dust while the exposure estimates by the model from van Hemmen reflect the inhalable fraction, an additional safety margin is inherently included in the exposure scenarios below, i.e. the exposure estimates are very conservative.

1. Table 1: Overview on exposure scenarios and coverage of substance life cycle

ES number	Exposure scenario title	Manufacture	Identified uses			Resulting life cycle stage	Linked to Identified Use	Sector of use category (SU)	Chemical Product Category (PC)	Process category (PROC)	Article category (AC)	Environmental release category (ERC)
			Formulation	End use	Consumer	Service life (for articles)						
9.10	Professional use of lime substances in soil treatment		X	X			10	22	9b	5, 8b, 11, 26		2, 8a, 8b, 8c, 8d, 8e, 8f

ES number 9.10: Professional use of lime substances in soil treatment

Exposure Scenario Format (1) addressing uses carried out by workers

1. Title

Free short title	Professional use of lime substances in soil treatment
Systematic title based on use descriptor	SU22 (appropriate PROCs and ERCs are given in Section 2 below)
Processes, tasks and/or activities covered	Processes, tasks and/or activities covered are described in Section 2 below.
Assessment Method	The assessment of inhalation exposure is based on measured data and on the exposure estimation tool MEASE. The environmental assessment is based on FOCUS-Exposit.

2. Operational conditions and risk management measures

Task/ERC	REACH definition	Involved tasks
Milling	PROC 5	Preparation and use of calcium oxides for soil treatment.
Loading of spreader	PROC 8b, PROC 26	
Application to soil (spreading)	PROC 11	
ERC2, ERC8a, ERC8b, ERC8c, ERC8d, ERC8e, ERC8f	Wide dispersive indoor and outdoor use of reactive substances or processing aids in open systems	Calcium oxide is applied in numerous cases of wide dispersive uses: agricultural, forestry, fish and shrimps farming, soil treatment and environmental protection.

2.1 Control of workers exposure

Product characteristic

According to the MEASE approach, the substance-intrinsic emission potential is one of the main exposure determinants. This is reflected by an assignment of a so-called fugacity class in the MEASE tool. For operations conducted with solid substances at ambient temperature the fugacity is based on the dustiness of that substance. Whereas in hot metal operations, fugacity is temperature based, taking into account the process temperature and the melting point of the substance. As a third group, high abrasive tasks are based on the level of abrasion instead of the substance intrinsic emission potential.

Task	Use in preparation	Content in preparation	Physical form	Emission potential
Milling	not restricted		solid/powder	high
Loading of spreader	not restricted		solid/powder	high
Application to soil (spreading)	not restricted		solid/powder	high

Amounts used

The actual tonnage handled per shift is not considered to influence the exposure as such for this scenario. Instead, the combination of the scale of operation (industrial vs. professional) and level of containment/automation (as reflected in the PROC) is the main determinant of the process intrinsic emission potential.

Frequency and duration of use/exposure

Task	Duration of exposure
Milling	240 minutes
Loading of spreader	240 minutes
Application to soil (spreading)	480 minutes (not restricted)

Human factors not influenced by risk management

The shift breathing volume during all process steps reflected in the PROCs is assumed to be 10 m³/shift (8 hours).

Other given operational conditions affecting workers exposure

Operational conditions (e.g. process temperature and process pressure) are not considered relevant for occupational exposure assessment of the conducted processes.

Technical conditions and measures at process level (source) to prevent release

Risk management measures at the process level (e.g. containment or segregation of the emission source) are generally not required in the processes.

Technical conditions and measures to control dispersion from source towards the worker

Task	Level of separation	Localised controls (LC)	Efficiency of LC	Further information
Milling	Separation of workers is generally not required in the conducted processes.	not required	na	-
Loading of spreader		not required	na	-
Application to soil (spreading)	During application the worker is sitting in the cabin of the spreader	Cabin with filtered air supply	99%	-

Organisational measures to prevent /limit releases, dispersion and exposure

Avoid inhalation or ingestion. General occupational hygiene measures are required to ensure a safe handling of the substance. These measures involve good personal and housekeeping practices (i.e. regular cleaning with suitable cleaning devices), no eating and smoking at the workplace, the wearing of standard working clothes and shoes unless otherwise stated below. Shower and change clothes at end of work shift. Do not wear contaminated clothing at home. Do not blow dust off with compressed air.

Conditions and measures related to personal protection, hygiene and health evaluation

Task	Specification of respiratory protective equipment (RPE)	RPE efficiency (assigned protection factor, APF)	Specification of gloves	Further personal protective equipment (PPE)
Milling	FFP3 mask	APF=20	Since calcium oxide is classified as irritating to skin, the use of protective gloves is mandatory for all process steps.	Eye protection equipment (e.g. goggles or visors) must be worn, unless potential contact with the eye can be excluded by the nature and type of application (i.e. closed process). Additionally, face protection, protective clothing and safety shoes are required to be worn as appropriate.
Loading of spreader	FFP3 mask	APF=20		
Application to soil (spreading)	not required	na		

Any RPE as defined above shall only be worn if the following principles are implemented in parallel: The duration of work (compare with “duration of exposure” above) should reflect the additional physiological stress for the worker due to the breathing resistance and mass of the RPE itself, due to the increased thermal stress by enclosing the head. In addition, it shall be considered that the worker’s capability of using tools and of communicating are reduced during the wearing of RPE.

For reasons as given above, the worker should therefore be (i) healthy (especially in view of medical problems that may affect the use of RPE), (ii) have suitable facial characteristics reducing leakages between face and mask (in view of scars and facial hair). The recommended devices above which rely on a tight face seal will not provide the required protection unless they fit the contours of the face properly and securely.

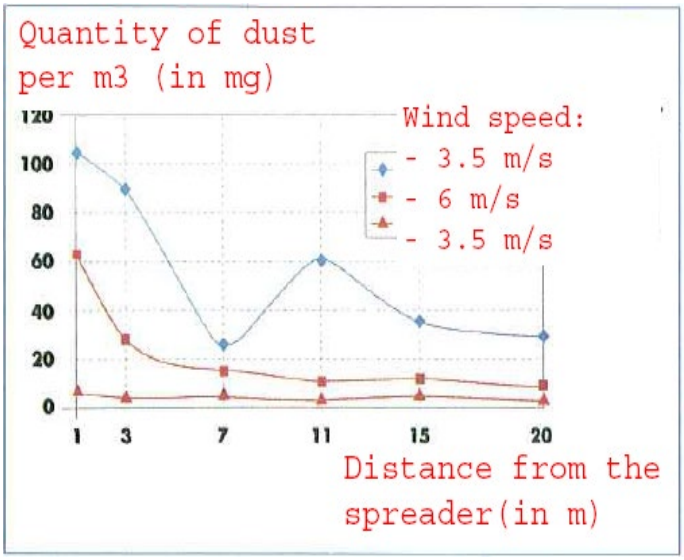
The employer and self-employed persons have legal responsibilities for the maintenance and issue of respiratory protective devices and the management of their correct use in the workplace. Therefore, they should define and document a suitable policy for a respiratory protective device programme including training of the workers.

An overview of the APFs of different RPE (according to BS EN 529:2005) can be found in the glossary of MEASE.

2.2 Control of environmental exposure – only relevant for agricultural soil protection

Product characteristics

Drift: 1% (very worst-case estimate based on data from dust measurements in air as a function of the distance from application)



(Figure taken from: Laudet, A. et al., 1999)

Amounts used	
CaO	1,700 kg/ha

Frequency and duration of use

1 day/year (one application per year). Multiple applications during the year are allowed, provided the total yearly amount of 1,700 kg/ha (CaO) is not exceeded

Environment factors not influenced by risk management

Volume of surface water: 300 L/m²
Field surface area: 1 ha

Other given operational conditions affecting environmental exposure

Outdoor use of products
Soil mixing depth: 20 cm

Technical conditions and measures at process level (source) to prevent release

There are no direct releases to adjacent surface waters.

Technical conditions and measures to reduce or limit discharges, air emissions and releases to soil

Drift should be minimised.

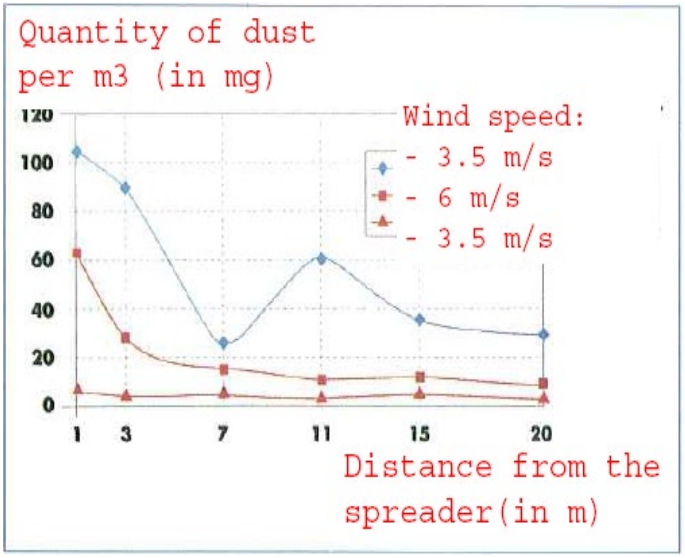
Organizational measures to prevent/limit release from site

In line with the requirements for good agricultural practice, agricultural soil should be analysed prior to application of lime and the application rate should be adjusted according to the results of the analysis.

2.2 Control of environmental exposure – only relevant for urban soil treatment

Product characteristics

Drift: 1% (very worst-case estimate based on data from dust measurements in air as a function of the distance from application)



(Figure taken from: Laudet, A. et al., 1999)

Amounts used

CaO	180,000 kg/ha

Frequency and duration of use

1 day/year and only once in a lifetime. Multiple applications during the year are allowed, provided the total yearly amount of 180,000 kg/ha is not exceeded (CaO)

Environment factors not influenced by risk management

Field surface area: 1 ha

Other given operational conditions affecting environmental exposure

Outdoor use of products
Soil mixing depth: 20 cm

Technical conditions and measures at process level (source) to prevent release

Lime is only applied onto the soil in the technosphere zone before road construction. There are no direct releases to adjacent surface waters.

Technical onsite conditions and measures to reduce or limit discharges, air emissions and releases to soil

Drift should be minimised.

3. Exposure estimation and reference to its source

Occupational exposure

Measured data and modelled exposure estimates (MEASE) were used for the assessment of inhalation exposure. The risk characterisation ratio (RCR) is the quotient of the refined exposure estimate and the respective DNEL (derived no-effect level) and has to be below 1 to demonstrate a safe use. For inhalation exposure, the RCR is based on the DNEL for calcium oxide of 1 mg/m³ (as respirable dust).

Task	Method used for inhalation exposure assessment	Inhalation exposure estimate (RCR)	Method used for dermal exposure assessment	Dermal exposure estimate (RCR)
Milling	MEASE	0.488 mg/m³ (0.48)	Since calcium oxide is classified as irritating to skin, dermal exposure has to be minimised as far as technically feasible. A DNEL for dermal effects has not been derived. Thus, dermal exposure is not assessed in this exposure scenario.	
Loading of spreader	MEASE (PROC 8b)	0.488 mg/m³ (0.48)		
Application to soil (spreading)	measured data	0.880 mg/m³ (0.88)		

Environmental exposure for agricultural soil protection

The PEC calculation for soil and surface water was based on the FOCUS soil group (FOCUS, 1996) and on the “draft guidance on the calculation of predicted environmental concentration values (PEC) of plant protection products for soil, ground water, surface water and sediment (Kloskowksi et al., 1999). The FOCUS/EXPOSIT modelling tool is preferred to the EUSES as it is more appropriate for agricultural-like application as in this case where parameter as the drift needs to be included in the modelling. FOCUS is a model typically developed for biocidal applications and was further elaborated on the basis of the German EXPOSIT 1.0 model, where parameters such as drifts can be improved according to collected data: once applied on the soil, calcium oxide can indeed migrate then towards surface waters, via drift.

Environmental emissions	See amounts used			
Exposure concentration in waste water treatment plant (WWTP)	Not relevant for agricultural soil protection			
Exposure concentration in aquatic pelagic compartment	**Substance**	**PEC (ug/L)**	**PNEC (ug/L)**	**RCR**
	CaO	5.66	370	0.015
Exposure concentration in sediments	As described above, no exposure of surface water nor sediment to lime is expected. Further, in natural waters the hydroxide ions react with HCO3- to form water and CO32-. CO32- forms CaCO3 by reacting with Ca2+. The calcium carbonate precipitates and deposits on the sediment. Calcium carbonate is of low solubility and a constituent of natural soils.			
Exposure concentrations in soil and groundwater	**Substance**	**PEC (mg/L)**	**PNEC (mg/L)**	**RCR**
	CaO	500	816	0.61
Exposure concentration in atmospheric compartment	This point is not relevant. Calcium oxide is not volatile. The vapour pressures is below 10^{-5} Pa.			
Exposure concentration relevant for the food chain (secondary poisoning)	This point is not relevant because calcium can be considered to be omnipresent and essential in the environment. The uses covered do not significantly influence the distribution of the constituents (Ca^{2+} and OH^-) in the environment.			

Environmental exposure for urban soil treatment

The urban soil treatment scenario is based on a road border scenario. At the special road border technical meeting (Ispra, September 5, 2003), EU Member States and industry agreed on a definition for a “road technosphere”. The road technosphere can be defined as “the engineered environment that carries the geotechnical functions of the road in connection with its structure, operation and maintenance including the installations to ensure road safety and manage run off. This technosphere, which includes the hard and soft shoulder at the edge of the carriageway, is vertically dictated by the groundwater watertable. The road authority has responsibility for this road technosphere including road safety, road support, prevention of pollution and water management”. The road technosphere was therefore excluded as assessment endpoint for risk assessment for the purpose of the existing/new substances regulations. The target zone is the zone beyond the technosphere, to which the environmental risk assessment applies.

The PEC calculation for soil was based on the FOCUS soil group (FOCUS, 1996) and on the “draft guidance on the calculation of predicted environmental concentration values (PEC) of plant protection products for soil, ground water, surface water and sediment (Kloskowksi et al., 1999). The FOCUS/EXPOSIT modelling tool is preferred to the EUSES as it is more appropriate for agricultural-like application as in this case where parameter as the drift needs to be included in the modelling. FOCUS is a model typically developed for biocidal applications and was further elaborated on the basis of the German EXPOSIT 1.0 model, where parameters such as drifts can be improved according to collected data.

Environmental emissions	See amounts used			
Exposure concentration in waste water treatment plant (WWTP)	Not relevant for road border scenario			
Exposure concentration in aquatic pelagic compartment	Not relevant for road border scenario			
Exposure concentration in sediments	Not relevant for road border scenario			
Exposure concentrations in soil and groundwater	**Substance**	**PEC (mg/L)**	**PNEC (mg/L)**	**RCR**
	CaO	529	816	0.65
Exposure concentration in atmospheric compartment	This point is not relevant. Calcium oxide is not volatile. The vapour pressures is below 10^{-5} Pa.			
Exposure concentration relevant for the food chain (secondary poisoning)	This point is not relevant because calcium can be considered to be omnipresent and essential in the environment. The uses covered do not significantly influence the distribution of the constituents (Ca^{2+} and OH^-) in the environment.			

Environmental exposure for other uses

For all other uses, no quantitative environmental exposure assessment is carried because

- The operational conditions and risk management measures are less stringent than those outlined for agricultural soil protection or urban soil treatment
- Lime is an ingredient and chemically bound into a matrix. Releases are negligible and insufficient to cause a pH-shift in soil, wastewater or surface water
- Lime is specifically used to release CO2-free breathable air, upon reaction with CO2. Such applications only relates to the air compartment, where the lime properties are exploited
- Neutralisation/pH-shift is the intended use and there are no additional impacts beyond those desired.

4. Guidance to DU to evaluate whether he works inside the boundaries set by the ES

The DU works inside the boundaries set by the ES if either the proposed risk management measures as described above are met or the downstream user can demonstrate on his own that his operational conditions and implemented risk management measures are adequate. This has to be done by showing that they limit the inhalation and dermal exposure to a level below the respective DNEL (given that the processes and activities in question are covered by the PROCs listed above) as given below. If measured data are not available, the DU may make use of an appropriate scaling tool such as MEASE (www.ebrc.de/mease.html) to estimate the associated exposure. The dustiness of the substance used can be determined according to the MEASE glossary. For example, substances with a dustiness less than 2.5 % according to the Rotating Drum Method (RDM) are defined as “low dusty”, substances with a dustiness less than 10 % (RDM) are defined as “medium dusty” and substances with a dustiness ≥10 % are defined as “high dusty”.

$DNEL_{inhalation}$: 1 mg/m³ (as respirable dust)

Important note: The DU has to be aware of the fact that apart from the long-term DNEL given above, a DNEL for acute effects exists at a level of 4 mg/m³. By demonstrating a safe use when comparing exposure estimates with the long-term DNEL, the acute DNEL is therefore also covered (according to R.14 guidance, acute exposure levels can be derived by multiplying long-term exposure estimates by a factor of 2). When using MEASE for the derivation of exposure estimates, it is noted that the exposure duration should only be reduced to half-shift as a risk management measure (leading to an exposure reduction of 40 %).

Revision

September 2018:

Updated style

Reconsidered relevant ES

Removed version numbering